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Patent  
703683.2  
(formerly 267/046)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Joseph Victor et al.**

**Serial No.:** 10/008,469

**Filed:** November 9, 2001

**For:** 3-D OPTICAL SWITCH

Group Art Unit: 2874

Examiner: Michelle Connelly-Cushwa

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AUG - 8 2003  
TECHNOLOGY CENTER 2874

**AMENDMENT TRANSMITTAL**

Commissioner for Patents  
Mail Stop **FEE AMENDMENT**  
P.O. Box 1450  
Alexandria, VA 22313-3513

Sir:

Transmitted herewith is an Amendment and Response for the above-identified application.

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Mail Stop Fee Amendment, P.O. Box 1450, Alexandria, VA. 22313-1450.

July 31, 2003  
Date of Deposit

Mary L. Smith

Name of Person Mailing Paper

*Mary L. Smith*  
Signature of Person Mailing Paper

☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)		FEE FOR SMALL ENTITY		FEE FOR OTHER THAN SMALL ENTITY
1 month	<input type="checkbox"/>	\$55.00	<input type="checkbox"/>	\$110.00
2 months	<input type="checkbox"/>	\$210.00	<input type="checkbox"/>	\$405.00
3 months	<input type="checkbox"/>	\$465.00	<input type="checkbox"/>	\$930.00
4 months	<input type="checkbox"/>	\$725.00	<input type="checkbox"/>	\$1,450.00
5 months	<input type="checkbox"/>	\$985.00	<input type="checkbox"/>	\$1,970.00

☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

☐ Extension fee due with this Request: \_\_\_\_\_

☐ If an additional extension of time is required, please consider this a petition therefor.

#### FEES FOR CLAIMS:

☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

The fees for claims (37 CFR § 1.16(b)-(d)) have been calculated as shown below:

Total Claims	18	-	20	=	0	x	\$18.00	\$0.00
Independent Claims	4	-	3	=	1	x	\$84.00	\$84.00
Multiple Dependent Claims	\$280	(if applicable)					<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>								<b>\$84.00</b>
Reduction by ½ for Filing by Small Entity.								
Note 37 CFR §§ 1.9, 1.27, 1.28.								<input type="checkbox"/> 42.00
<b>TOTAL FEES FOR CLAIMS SUBMITTED HERewith</b>								<b>\$42.00</b>

☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).

☒ Charge Orrick's Deposit Account No. **15-0665** in the amount of **\$42.00**.



The Commissioner is authorized to charge Orrick's Deposit Account No. **15-0665** fees required under 37 CFR §§ 1.16 and 1.17 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account **15-0665**.

Respectfully submitted,

ORRICK HERRINGTON & SUTCLIFFE LLP

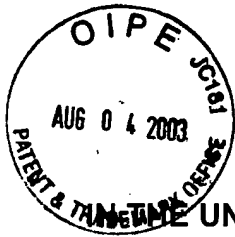
Dated: July 31, 2003

By: 

Kenneth S. Roberts

Reg. No. 38,283

4 Park Plaza, Suite 1600  
Irvine, CA 92614-2558  
(949) 567-6700



Orrick No. 703683.2  
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UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Joseph Victor et al.

Serial No.: 10/008,469

Filed: November 9, 2001

For: 3-D Electro Optical Switch

Group Art Unit: 2874

Examiner: Michelle Connelly-Cushwa

AMENDMENT AND RESPONSE

**Mail Stop FEE AMENDMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed on May 20, 2003, please amend the present application as follows:

08/05/2003 ESSEHEI 00000089 150665 10008469

01 FC:2201 04.00 DA

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(37 C.F.R. §1.8a)

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DOCSOC1:140243.1  
703683-2 EW7

08/05/2003 ESSEHEI 00000089 150665 10008469  
01 FC:2201 42.00 DA